

UNITED STATES DISTRICT COURT  
SOUTHERN DISTRICT OF NEW YORK

MULLEN AUTOMOTIVE, INC. and ULYSSES  
NOVOA,

Plaintiffs,

v.

TD AMERITRADE, INC., CHARLES  
SCHWAB & CO., INC., NATIONAL  
FINANCIAL SERVICES, LLC and JOHN  
DOES 1 THROUGH 10,

Defendants.

Case No. 1:23-cv-07647-AT

**RULE 7.1 CORPORATE DISCLOSURE STATEMENT**

Pursuant to Rule 7.1 of the Federal Rules of Civil Procedure, Defendant National Financial Services, LLC, by and through the undersigned counsel, certifies the following:

Fidelity Global Brokerage Group, Inc. is the parent corporation of National Financial Services, LLC. No publicly-held corporation owns 10 percent or more of National Financial Services, LLC's stock.

Respectfully submitted,

Dated: New York, New York  
September 7, 2023

SIDLEY AUSTIN LLP

By: /s/ Andrew W. Stern

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